



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

Address: COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, Virginia 22313-1450

www.uspto.gov

January 30, 2004

Michael N. Lau  
Lau & Associates  
7701 Rockledge Court  
Springfield, VA 22152

In re application of:  
Tucciarone et. al                      DECISION ON PETITION  
Serial No.: 09/750,923  
Filed: January 2, 2001

For: ELECTRONIC MESSAGING SYSTEM AND METHOD THEREOF

This is a decision on the petition received on November 25, 2003, to withdraw the holding of abandonment in the above-identified application.

The above-identified application became abandoned for failure to reply within the meaning of 37 C.F.R. 1.113 in timely manner to the Notice to File Missing Parts of Application mailed February 16, 2001. Which set a shortened statutory period for reply of two (2) months. Accordingly, this application became abandoned April 17, 2001. A notice of abandonment was mailed on November 3, 2003.

On November 25, 2003 the office received a communication from the attorney of record. Enclosed was an acknowledgment that the attorney responded to the Notice to File Missing Part on April 10, 2001.

The evidence submitted is sufficient to establish that the petitioners responded to the Notice to File Missing Parts of Application on April 10, 2001.

The petition is hereby GRANTED.

The application has been completed for release to Technology Center 2182.

Telephone inquiries concerning this matter may be directed to Doshie E. Day at (703) 308-3640.

Doshie E. Day  
Program Management Assistant  
Office Initial Patent Examination



09/750,923  
Attorney Docket No. 004-0003/MNL

CE  
DAC  
A

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Application of** :  
**Tucciarone et. Al.** : **Examiner TBD**  
**Serial No. 09/750,923** : **Art Unit 2182**  
**Filed: January 2, 2001** :

**For ELECTRONIC MESSAGING SYSTEM AND METHOD THEREOF**

**PETITION TO WITHDRAW HOLDING OF ABANDONMENT**  
**UNDER 1.181(a)**

Mail Stop Petitions  
Honorable Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

On November 3, 2003, the Patent Office has issued a Notice of Abandonment Under 37 CFR 1.53(f) or (g), indicating that the above-identified application is abandoned for failure to timely or properly reply to the Notice to File Missing Parts mailed on May 10, 2001.

The Undersigned Attorney hereby declares that the Notice to File Missing Parts mailed on May 10, 2001 was never received.

It should be noted that a Notice to File Missing Parts of Nonprovisional Application (hereinafter as Notice to File Missing Parts) mailed on February 16, 2001 was received by the Undersigned Attorney. A copy of the Notice to File Missing Parts is enclosed herewith as Attachment A.

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In response to the Notice to File Missing Parts, the Undersigned Attorney prepared and filed two Communication packets on April 10, 2001. The first Communication packet contains a copy of the Notice to File Missing Parts of Nonprovisional Application mailed on February 16, 2001; a cover letter; a Declaration for Utility of Design Patent Application; a USPTO Credit Card Payment Form authorizing a payment amount of \$65.00 to file the Declaration. The first Communication is enclosed herewith as Attachment B.

The second Communication packet contains a cover letter; an Assignment; a Recordation Form Cover Sheet for recording the Assignment; and a USPTO Credit Card Payment Form authorizing a payment of \$40.00. A copy of the second Communication is enclosed herewith as Attachment C.

The Patent Office has received both of these communication packets, as evidenced by the return postcard with an OIPE stamp dated April 10, 2001. The postcard is enclosed herewith as Attachment D.

A corollary proof that the Patent Office has timely received the Communication packets is a Credit Card statement with a closing date of April 24, 2001. In this statement, there is an indication that the USPTO has received a \$40.00 payment on April 12, 2001. There is also an indication that the USPTO has received a \$65.00 payment on April 12, 2001. The Credit Card Statement is enclosed herewith as Attachment E.

For the foregoing reasons, the Undersigned Attorney has indeed made a timely reply on April 10, 2001 to the Notice to File Missing Parts dated February 16, 2001, and the timely reply was indeed received by the USPTO. It is apparent that the USPTO has since lost the Communication packets, thus leading to the Notice of Abandonment

mailed on November 3, 2003. Had the USPTO not loss the Communication packets, the above-identified application would not have been regarded as abandoned.

Therefore, the Undersigned Attorney respectfully request under 37 CFR 1.181(a) and MPEP 711.03(c)(I) that the holding of abandonment be withdraw.

Though it is clearly stated in MPEP 711.03(c)(I) that where an applicant contends that the application is not in fact abandoned, a petition under 37 CFR 1.181(a) requesting withdrawal of the holding of abandonment is the appropriate course or action and such petition does not require a fee, in case of oversight, the Commissioner is hereby authorized to charge any underpayment of fees or credit any overpayment of fees in connection with this communication to Deposit Account Number 502840.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Michael Lau", with a stylized, flowing script.

Michael N. Lau  
(Registration No. 39,479)  
Lau & Associates  
7701 Rockledge Court  
Springfield, VA 22152  
703-644-7695 (Telephone)  
703-644-4303 (Facsimile)  
Michael\_Lau\_@Yahoo.com

November 25, 2003



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/750,923	01/02/2001	Joel D. Tucciarone	004-0003

CONFIRMATION NO. 7355

# ABANDONMENT/TERMINATION LETTER



\*OC000000011166319\*

Michael N. Lau  
 7701 Rockledge Court  
 Springfield, VA 22152

Date Mailed: 11/03/2003

## NOTICE OF ABANDONMENT UNDER 37 CFR 1.53 (f) OR (g)

The above-identified application is abandoned for failure to timely or properly reply to the Notice to File Missing Parts (Notice) mailed on 05/10/2001.

A petition to the Commissioner under 37 CFR 1.137 may be filed requesting that the application be revived.

Under 37 CFR 1.137(a), a petition requesting the application be revived on the grounds of **UNAVOIDABLE DELAY** must be filed promptly after the applicant becomes aware of the abandonment and such petition must be accompanied by: (1) an adequate showing of the cause of unavoidable delay; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(l); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Under 37 CFR 1.137(b), a petition requesting the application be revived on the grounds of **UNINTENTIONAL DELAY** must be filed promptly after applicant becomes aware of the abandonment and such petition must be accompanied by: (1) a statement that the entire delay was unintentional; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(m); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Any questions concerning petitions to revive should be directed to the "Office of Petitions" at (703) 305-9282. Petitions should be mailed to: Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



ATTACHMENT A

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/750,923	01/02/2001	Joel D. Tucciarone	00-0003/mnl

CONFIRMATION NO. 7355

FORMALITIES LETTER



\*OC000000005775453\*

Michael N. Lau  
7701 Rockledge Court  
Springfield, VA 22152

Date Mailed: 02/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- The Claim(s) commencing on a separate sheet (37 CFR 1.75(h)).
- A substitute specification in compliance with 37 CFR 1.52 because:
  - The specification cannot be scanned or properly stored. Page(s)
    - Papers must be legibly written either by a typewriter or mechanical printer in permanent ink or its equivalent in portrait orientation on flexible, strong, smooth, non-shiny, durable, and white paper. Application papers must be presented in a form having sufficient clarity and contrast between the paper and the writing thereon to permit the direct reproduction of readily

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legible copies in any number by use of photographic, electrostatic, photo-offset, and microfilming processes and electronic reproduction by use of digital imaging and optical character recognition. Pages **73-118** are not in compliance with 37 CFR 1.52(a).

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Please type a plus sign (+) inside this box → ☐

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

PTO/SB/01 (12-97)  
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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

## DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

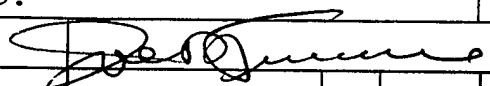
<input type="checkbox"/> Customer Number <input type="text"/>		<div>Place Customer Number Bar Code Label here</div>	
OR <input type="checkbox"/> Registered practitioner(s) name/registration number listed below			
Name	Registration Number	Name	Registration Number
Michael N. Lau	39,479		

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☐ Customer Number  OR ☒ Correspondence address below

Name	Michael N. Lau				
Address	7701 Rockledge Court				
Address	Springfield, VA 22152				
City		State		ZIP	
Country		Telephone	703-644-7695	Fax	703-644-4303

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))		Family Name or Surname			
Joel D.		Tucciarone			
Inventor's Signature				Date	4/2/2001
Residence: City	State	Country	Citizenship		
Post Office Address	24 Wellington Court				
Post Office Address	Brooklyn, NY 11230				
City	State	ZIP	Country		

☐ Additional inventors are being named on the \_\_\_\_\_ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

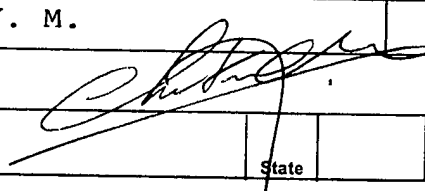
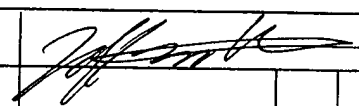
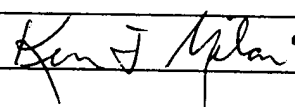
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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
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<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S)</b> <b>Supplemental Sheet</b> Page <u>3</u> of <u>4</u>
--------------------	---

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Chester Y. M.				Chee			
Inventor's Signature				Date	4/2/2001		
Residence: City		State		Country		Citizenship	USA
Post Office Address 149-58 Ash Avenue							
Post Office Address Flushing, NY 11355							
City		State		ZIP		Country	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Jeffrey Y.				Huang			
Inventor's Signature				Date	4/2/01		
Residence: City		State		Country		Citizenship	USA
Post Office Address 146-22 Kalmia Avenue							
Post Office Address Flushing, NY 11355							
City		State		ZIP		Country	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Kevin				Milani			
Inventor's Signature				Date	4/4/01		
Residence: City		State		Country		Citizenship	USA
Post Office Address 152 President Street, Apt. 2							
Post Office Address Brooklyn, NY 11231							
City		State		ZIP		Country	

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

+

Please type a plus sign (+) inside this box → ☐

PTO/SB/02A (3-97)  
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Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## DECLARATION

## ADDITIONAL INVENTOR(S) Supplemental Sheet Page 4 of 4

<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Patrick				Tighe			
Inventor's Signature		<i>Patrick Tighe</i>		Date		4/2/01	
Residence: City		State		Country		Citizenship	
						USA	
Post Office Address		123 Bank Street					
Post Office Address		New York, NY 10014					
City		State		ZIP		Country	
<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Marlin				Pohlman			
Inventor's Signature		<i>Marlin Pohlman</i>		Date		3/29/01	
Residence: City		State		Country		Citizenship	
						USA	
Post Office Address		6 East 22nd Street, Suite 108					
Post Office Address		Tulsa, OK 74114					
City		State		ZIP		Country	
<b>Name of Additional Joint Inventor, if any:</b>				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Inventor's Signature				Date			
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		ZIP		Country	

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

ATTACHMENT B

09/750,923  
Attorney Docket No. 004-0003/mnl

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Tucciarone et al.

Serial No. 09/750,923

Filed: Jan. 2, 2001

:

:

:

:

Examiner TBD

Art Unit 2182

For Electronic Messaging System and Method Thereof

COMMUNICATION

Box Missing Parts  
Honorable Commissioner of Patent  
Washington, DC 20231

Sir:

This Communication is filed in response to the Notice To File Missing Parts of Nonprovisional Application mailed on February 16, 2001, having a response due date of April 16, 2001. This communication being timely filed on or before the due date; therefore, no extension of time fee is necessary.

Enclosed herewith are copies of a Declaration, and a Notice To File Missing Parts of Nonprovisional Application.

Also enclosed herewith is a credit card payment form in the amount of \$65.00.

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The Commissioner is hereby authorized to charge any underpayment of fees or credit any overpayment of fees in connection with this communication to Deposit Account 501356.

Respectfully submitted,

A handwritten signature in cursive script that reads "Michael Lau". The signature is written in dark ink and is positioned above a horizontal line.

Michael N. Lau  
(Registration No. 39,479)  
Lau & Associates  
1101 14<sup>th</sup> Street, N.W., Suite 504  
Washington, DC 20005  
202-326-0222  
202-326-0223 (Fax)  
Michael lau @Yahoo.com

April 10, 2001



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

PTO/SB/01 (10-00)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION</b> (37 CFR 1.63)	<b>Attorney Docket Number</b>	004-0003
	<b>First Named Inventor</b>	Tucciarone, Joel D.
	<b>COMPLETE IF KNOWN</b>	
	<b>Application Number</b>	09 / 750,923
	<b>Filing Date</b>	January 2, 2001
<input type="checkbox"/> Declaration Submitted with Initial Filing	<b>Group Art Unit</b>	2181
	<b>Examiner Name</b>	
<input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)		

As a below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Electronic Messaging System and Method Thereof

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY)

01/02/2001

as United States Application Number or PCT International

Application Number

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	
		<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/750,923	01/02/2001	Joel D. Tucciarone	00-0003/mnl

CONFIRMATION NO. 7355

## FORMALITIES LETTER



\*OC000000005775453\*

Michael N. Lau  
7701 Rockledge Court  
Springfield, VA 22152

Date Mailed: 02/16/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- The Claim(s) commencing on a separate sheet (37 CFR 1.75(h)).
- A substitute specification in compliance with 37 CFR 1.52 because:
  - The specification cannot be scanned or properly stored. Page(s)
    - Papers must be legibly written either by a typewriter or mechanical printer in permanent ink or its equivalent in portrait orientation on flexible, strong, smooth, non-shiny, durable, and white paper. Application papers must be presented in a form having sufficient clarity and contrast between the paper and the writing thereon to permit the direct reproduction of readily

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- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice MUST be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

ATTACHMENT C

09/750,923

Attorney Docket No. 004-0003/mnl

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :  
Tucciarone et al. : TBD  
Serial No. 09/750,923 : Art Unit 2182  
Filed: Jan. 2, 2001 :

For Electronic Messaging System and Method Thereof

COMMUNICATION

Box Assignment  
Honorable Commissioner of Patent  
Washington, DC 20231

Sir:

Enclosed herewith are an executed Assignment, a  
Recordation Form Cover Sheet, and a credit card payment  
form in the amount of \$40, please promptly record these  
legal instruments.

The Commissioner is hereby authorized to charge any  
underpayment of fees or credit any overpayment of fees in  
connection with this communication to Deposit Account  
501356.

Respectfully submitted,



Michael N. Lau  
(Registration No. 39,479)  
Lau & Associates

Attorney Docket No. 004-0003/mnl

1101 14<sup>th</sup> Street, N.W., Suite 504  
Washington, DC 20005  
202-326-0222  
202-326-0223 (Fax)  
Michael lau @Yahoo.com

April 10, 2001

FORM PTO-160A  
Expires 06/30/99  
OMB 0651-0027



U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

## RECORDATION FORM COVER SHEET PATENTS ONLY

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

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- ☒ New
- ☐ Resubmission (Non-Recordation)  
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- ☐ Correction of PTO Error  
Reel #  Frame #
- ☐ Corrective Document  
Reel #  Frame #

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- ☒ Assignment ☐ Security Agreement
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Month Day Year
- Name (line 2)

### Second Party

- Name (line 1)  Execution Date  
Month Day Year
- Name (line 2)

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- ☐ Mark if additional names of receiving parties attached

- Name (line 1)
- Name (line 2)
- Address (line 1)
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- Address (line 3)     
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- ☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

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Enter for the first Receiving Party only.

- Name
- Address (line 1)
- Address (line 2)
- Address (line 3)
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OFFICE OF PETITIONS

**Execution Date**  
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**Execution Date**  
Month Day Year

Execution Date		
Month	Day	Year
4	2	01

**Name (line 2)**

**Address (line 3)**

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. *(Designation must be a separate document from Assignment.)*

**Zip Code****Zip Code**

\_\_\_\_\_

**RECORDATION FORM COVER SHEET  
CONTINUATION  
PATENTS ONLY**

U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

**Conveying Party(ies)**

☒ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Marlin Pohlman

Execution Date  
Month Day Year

3 29 01

Name (line 2)

Execution Date  
Month Day Year

Name (line 1)

Name (line 2)

Name (line 1)

Execution Date  
Month Day Year

Name (line 2)

**Receiving Party(ies)**

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

<u></u>	<u></u>	<u></u>
<u></u>	<u></u>	<u></u>
<u></u>	<u></u>	<u></u>
<u></u>	<u></u>	<u></u>
<u></u>	<u></u>	<u></u>

**Patent Number(s)**

<u></u>	<u></u>	<u></u>
<u></u>	<u></u>	<u></u>
<u></u>	<u></u>	<u></u>
<u></u>	<u></u>	<u></u>
<u></u>	<u></u>	<u></u>

**Correspondent Name and Address**

Area Code and Telephone Number

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

#

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

<input type="text" value="09/750,923"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

Patent Number(s)

<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number  
only if a U.S. Application Number  
has not been assigned.

PCT  PCT  PCT   
PCT  PCT  PCT

**Number of Properties**

Enter the total number of properties involved.

#

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment:  
Deposit Account

Enclosed ☒ Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

Yes ☒ No ☐

**Statement and Signature**

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.*

Michael N. Lau

Name of Person Signing

  
Signature

April 10, 2001

Date

**ASSIGNMENT**

WHEREAS, we,

Joel D. Tucciarone, a citizen of the United States of America, residing at 24 Wellington Court, Brooklyn, New York 11230, U.S.A.;

Chester Y. M. Chee, a citizen of the United States of America, residing at 149-58 Ash Avenue, Flushing, New York 11355, U.S.A.;

Jeffrey Y. Huang, a citizen of the United States of America, residing at 146-22 Kalmia Avenue, Flushing, New York 11355, U.S.A.;

Kevin Milani, a citizen of the United States of America, residing at 152 President Street, Apt. 2, Brooklyn, New York 11231, U.S.A.;

Patrick Tighe, a citizen of the United States of America, residing at 123 Bank Street, New York, New York 10014, U.S.A.;

Marlin Pohlman, a citizen of the United States of America, residing at 6 East 22<sup>nd</sup> Street, Suite 108, Tulsa, Oklahoma 74114, U.S.A.;

have made a certain invention entitled an ELECTRONIC MESSAGING SYSTEM AND METHOD THEREOF, for which we have filed an application for letters patent of the United States of

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America on December 26, 2000, which application has been issued a U.S. Patent Application No. 09/750,923; and

WHEREAS, Zoe Corporation, having its principal place of business at 270 Lafayette Street, Suite 1202, New York, New York 10012, is desirous of acquiring an interest therein.

NOW, THEREFORE, in consideration of Ten Dollars (US \$10.00), in currency of the United States of America, and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, we, by these presents, do sell, assign and transfer unto said Zoe Corporation, our entire right, title and interest, for the United States of America and its possessions and territories, and all foreign countries, in and to said invention as described and claimed in an application for letters patent of the United States of America, executed by us

Joel D. Tucciarone, this \_\_\_\_\_ day of \_\_\_\_\_, 2001;

Chester Y. M. Chee, this \_\_\_\_\_ day of \_\_\_\_\_, 2001;

Jeffrey Y. Huang, this \_\_\_\_\_ day of \_\_\_\_\_, 2001;

Kevin Milani, this \_\_\_\_\_ day of \_\_\_\_\_, 2001;

Patrick Tighe, this \_\_\_\_\_ day of \_\_\_\_\_,

2001;

Marlin Pohlman, this \_\_\_\_\_ day of \_\_\_\_\_,

2001;

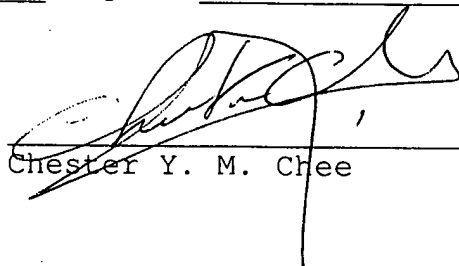
preparatory to obtaining letters patent of the United States of America therefor, said application for letters patent of the United States of America, together with the right to file additional applications for letters patents or other forms of protection for said invention in all foreign countries, including all rights of priority created or conferred by any international convention or treaty to which the United States of America is a party or signatory, and in and to any letters patents or other forms of protection that may be granted for said invention; said invention, applications for patents or other forms of protection and letters patents or other forms of protection, and any continuation, continuations-in-part, divisions, reissues, extensions and renewals thereof, to be held and enjoyed by said Zoe Corporation, for its use and behoof and for its legal representatives, successors and assigns, to the full ends of the terms for which said letters patents or other forms of protection may be granted, as fully and entirely as the same would have been held by us individually had this assignment and sale not been made.

For the same consideration, we hereby covenant and agree that we will and that our legal representative(s) shall execute and deliver any and all application papers, assignments, powers of attorney and other instruments that said Zoe Corporation may consider necessary or advisable to secure letters patents or other forms of protection of the United States of America and all foreign countries for said invention, and any continuations, continuations-in-part, divisions, reissues, extensions and renewals thereof, and to vest and confirm in said Zoe Corporation, the full and complete legal and equitable title to said invention, applications and letters patents or other forms of protection, without further consideration than that now paid but at the expense of said Zoe Corporation, its successors or assigns.


Executed this 2 day of April,  
2001.

  
Joel D. Tucciarone

Executed this 2 day of April,  
2001.

  
Chester Y. M. Chee

Executed this 2nd day of April,  
2001.

  
\_\_\_\_\_  
Jeffrey Y. Huang


Executed this 4 day of April,  
2001.

  
\_\_\_\_\_  
Kevin Milani

Executed this 2nd day of April,  
2001.

  
\_\_\_\_\_  
Patrick Tighe

Executed this 29 day of March,  
2001.

\*   
\_\_\_\_\_  
Marlin Pohlman

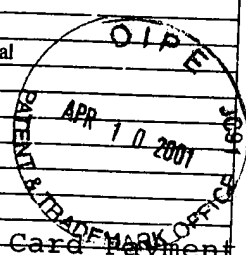
# ATTACHMENT D

Serial # 09/750,923 Attorney Docket # 004-0003  
 Filed: Jan 2, 2001 Inventor: Tucciarone et al.  
 Title: Electronic Messaging System & Method Thereof

COMMISSIONER OF PATENTS & TRADEMARKS  
 BOX Assignment  
 WASHINGTON, DC 20231

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<input type="checkbox"/>	Preliminary Amendment	<input type="checkbox"/>	Appeal Brief in Triplicate
<input type="checkbox"/>	Amendment	<input type="checkbox"/>	Reply Brief in Triplicate
<input checked="" type="checkbox"/>	Communication <u>2</u>	<input type="checkbox"/>	Notice of Appeal
<input type="checkbox"/>	New Application	<input type="checkbox"/>	Formal Drawing
<input type="checkbox"/>	PCT Application	<input type="checkbox"/>	Issue Fee & Transmittal
<input type="checkbox"/>	Continuation Patent Application (CPA)	<input type="checkbox"/>	Cover Letter
<input type="checkbox"/>	Divisional Application	<input type="checkbox"/>	Certificate of Mailing
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<input type="checkbox"/>	Certified Copy of Priority Application	<input type="checkbox"/>	Transmittal Letter
<input type="checkbox"/>	Specification, Drawings & Abstract	<input type="checkbox"/>	Petition
<input type="checkbox"/>	Information Disclosure Statement	<input type="checkbox"/>	Check #
<input type="checkbox"/>	Substitute Specification	<input type="checkbox"/>	Others
<input checked="" type="checkbox"/>	Assignment with Recordation sheet	<input checked="" type="checkbox"/>	Credit Card Payment
<input checked="" type="checkbox"/>	Declaration & Power of Attorney	<input checked="" type="checkbox"/>	Forms
		<input checked="" type="checkbox"/>	Hand delivered





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ATTACHMENT E



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Page 1 of 12

**American Express® Platinum Credit Card**

Prepared For  
AUDREY P. LAU

Closing Date  
April 24, 2001

Account Number  
3715-055941-41003

**Account Summary**

Confirmation of phone payment 642207

Previous Balance \$	Payment Activity \$	New Charges/Adjustments \$ inc. Finance Charge, if any	New Balance \$	Minimum Amount Due \$
2,648.09	-3,264.62	+2,478.52	1,861.99	37.00

Payment Due Date  
May 19, 2001

\* Indicates posting date.

Please refer to page 7  
for important information  
regarding your account

Cr dlt Line Summary on April 24, 2001	Total Credit Line \$	Available Credit Line \$	Cash Advance Limit \$	Available Cash Limit \$
	13,500.00	11,638.01	2,700.00	2,700.00

**Payments**

Amount \$

<b>March 27, 2001*</b> PHONE PAYMENT USING EXPRESS CASH 1062816558343 FIRST UNION BANK Reference: 320010660195765423	-616.53
<b>April 13, 2001*</b> PHONE PAYMENT USING EXPRESS CASH 1062816558343 FIRST UNION BANK Reference: 320011030224049841	-2,648.09
<b>Total of Payment Activity</b>	<b>-3,264.62</b>

**New Activity****Transactions for AUDREY P. LAU**

Amount \$

<b>March 25, 2001</b> COSTCO WHOLESAL 0992SPRINGFIELD VA GENERAL MERCHANDISE ROC No. 0010722510 Reference: 320010850193316299	76.17
---	-------

↓ Please fold on the perforation below, detach and return with your payment ↓

Continued on reverse →

**Payment Coupon**

Account Number  
3715-055941-41003

Payment Due Date:  
May 19, 2001

Please enter account  
number on all checks and  
correspondence.



AUDREY P. LAU  
7701 ROCKLEDGE CT  
SPRINGFIELD VA 22152-3854

Minimum  
Amount Due  
\$37.00

To avoid additional  
Finance Charges on  
Purchases, pay New  
Balance before Payment  
Due Date.

Amount enclosed

\$

Note any address and/or  
telephone number change  
on reverse side. Unless  
you check here, this  
change will apply to all of  
your Card Accounts except  
any Corporate Card  
Accounts you may have.

Mail Payment to:

AMERICAN EXPRESS  
SUITE 0002  
CHICAGO IL 60679-0002



0000371505594141003 000186199000003700 21 H

08566 R07EXA2N 02797 0020Z. (234)

**N w Activity continu d**

Amount \$

<b>March 26, 2001</b>	19.39
6620 BACKLICK SPRINGFIELD VA TEXACO 23068539153086244004114 Reference: 320010860194458078	
<b>March 27, 2001</b>	2.08
CVS STORE - 1398 SPRINGFIELD VA HEALTH/BEAUTY-AIDS ROC No. 00037486 Reference: 320010870196098133	
<b>April 1, 2001</b>	13.56
GIANT FOODS #59 SPRINGFIELD VA GAS/GROCERIES/MISC Reference: 320010920204122260	
<b>April 1, 2001</b>	34.64
CVS STORE - 1398 SPRINGFIELD VA HEALTH/BEAUTY-AIDS ROC No. 00090394 Reference: 320010920204122261	
<b>April 2, 2001</b>	45.00
COSTCO WHOLESALE 1-800-774-2678 MEMBERSHIP RENEWAL FEE Reference: 320010920204122262	
<b>April 5, 2001</b>	35.07
CVS STORE - 1398 SPRINGFIELD VA HEALTH/BEAUTY-AIDS ROC No. 00091257 Reference: 320010960211098721	
<b>April 6, 2001</b>	62.56
HANAHEUM CORP.VA ANNANDALE VA 01/FOOD AND BEVERAGE ROC No. 0000016351 Reference: 320010970213401518	
<b>April 7, 2001</b>	25.11
GIANT FOODS #59 SPRINGFIELD VA GAS/GROCERIES/MISC Reference: 320010980214380100	
<b>April 13, 2001</b>	31.95
HANAHEUM CORP.VA ANNANDALE VA 01/FOOD AND BEVERAGE ROC No. 0000016725 Reference: 320011040224482293	

Continued on next page

Change of Address  
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Name																								
Company Name																								
Street Address																								
City, State																								
Zip Code																								
Area Code and Home Phone																								
Area Code and Work Phone																								
Email																								

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Prepared For  
AUDREY P. LAUAccount Number 3715-055941-41003  
Closing Date April 24, 2001  
Page 3 of 12**New Activity continued**

Amount \$

<b>April 14, 2001</b>		67.97
CVS STORE - 1398 SPRINGFIELD VA		
HEALTH/BEAUTY-AIDS		
ROC No. 00030386		
Reference: 320011050225852055		
<b>April 18, 2001</b>		16.02
GIANT FOODS #59 SPRINGFIELD VA		
GAS/GROCERIES/MISC		
Reference: 320011090232550806		
<b>April 19, 2001</b>		5.15
CVS STORE - 1398 SPRINGFIELD VA		
HEALTH/BEAUTY-AIDS		
ROC No. 00047420		
Reference: 320011100234299856		
<b>April 20, 2001</b>		20.00
CVS STORE - 1398 SPRINGFIELD VA		
HEALTH/BEAUTY-AIDS		
ROC No. 00094301		
Reference: 320011110236167070		
<b>April 21, 2001</b>		66.36
MACY'S 84 SPRINGFLD SPRINGFIELD VA		
LANCOME		
Reference: 320011120237428931		
<b>April 21, 2001</b>		20.47
SPRINGFIELD AMOCO SPRINGFIELD VA		
PAY AT THE PUMP		
Reference: 320011120237428932		
<b>April 22, 2001</b>		42.29
HANAHREUM CORP.VA ANNANDALE VA		
01/FOOD AND BEVERAGE		
ROC No. 0000003674		
Reference: 320011130238973930		
<b>Activity for AUDREY P. LAU</b>		<b>583.79</b>

**Transactions for MICHAEL LAU**

Card 3715-055941-41011

<b>March 24, 2001</b>		62.70
SMALL CAR PARTS LTD SPRINGFIELD VA		
AUTO PARTS		
Reference: 320010850193316300		
<b>March 25, 2001</b>		80.05
PEKING VILLAGE REST FALLS CHURCH VA		
FOOD/BEVERAGE		
Reference: 320010850192507428		
<b>March 25, 2001</b>		14.37
W C BASCOM JR FALLS CHURCH VA		
PAY AT THE PUMP		
Reference: 320010850192507429		
<b>March 25, 2001</b>		68.80
CHINA GARDEN RESTAURNT ARLINGTON VA		
FOOD AND BEVERAGE		
Reference: 320010850192507430		
<b>March 26, 2001</b>		63.45
WASHINGTON LAW/PROFSNL BK WASHINGTON DC		
BOOKS		
Reference: 320010850193833811		
<b>March 26, 2001</b>		123.00
MEMOSUN INC FOUNTAIN VLLY CA		
20005		
COMPUTER SOFTWARE		
ROC No. 099973		
Reference: 320010850193833812		

08567 R07EXA2N 02797

Continued on reverse



**N w A tivity c ntinued**

Amount \$

**March 27, 2001** 22.04  
CAFE MOZART WASHINGTON DC  
FOOD AND BEVERAGE  
Reference: 320010870196098134

**March 28, 2001** 7.38  
W C BASCOM JR FALLS CHURCH VA  
PAY AT THE PUMP  
Reference: 320010870196098135

**March 28, 2001** 3.50  
USPS 1050050238 WASHINGTON DC  
POSTAL SERVICES  
Reference: 320010880197800169

**March 28, 2001** 124.38  
VALUE EMPORIUM.COM 7149937026 CA  
COMPUTER PROGRAMMING  
Reference: 320010880197800170

**March 29, 2001** 71.50  
MUSIC & ARTS CENTER SPRINGFIELD VA  
MUSIC/MUSICAL INSTRMNT  
Reference: 320010890199547282

**March 29, 2001** 5.32  
COSTCO WHOLESAL 0992SPRINGFIELD VA  
GENERAL MERCHANDISE  
ROC No. 0010667820  
Reference: 320010890200547350

**March 30, 2001** 13.00  
NUMBER ONE SPECIAL SPRINGFIELD VA  
FOOD/BEVERAGE  
FOOD/BEV 11.00  
WAITER 2.00  
Reference: 320010900201308512

**March 31, 2001** 15.93  
W C BASCOM JR FALLS CHURCH VA  
PAY AT THE PUMP  
Reference: 320010910202621935

**March 31, 2001** 59.10  
PEKING VILLAGE REST FALLS CHURCH VA  
FOOD/BEVERAGE  
Reference: 320010910202621936

**April 2, 2001** 14.23  
W C BASCOM JR FALLS CHURCH VA  
PAY AT THE PUMP  
Reference: 320010930206023059

**April 3, 2001** 358.23  
COMPUSA#0477 ALEXANDRIA VA  
22304  
COMPUTER HARDWARE, SOFTWARE, ACCESSORIES  
CONTACT NAME NOT AVAILABLE  
CONTACT PHONE NUMB. NOT AVAILABLE  
THANKS FOR SHOPPING AT COMPUSA!  
Reference: 320010940207531324

**April 3, 2001** 59.53  
COSTCO WHOLESAL 0992ARLINGTON VA  
GENERAL MERCHANDISE  
ROC No. 0010059230  
Reference: 320010940208516580

**April 5, 2001\*** -31.34  
COMPUSA#0477 ALEXANDRIA VA  
22304  
COMPUTER HARDWARE, SOFTWARE, ACCESSORIES  
CONTACT NAME NOT AVAILABLE  
CONTACT PHONE NUMB. NOT AVAILABLE  
THANKS FOR SHOPPING AT COMPUSA!  
Reference: 320010950209314046  
Credit

**April 6, 2001** 15.37  
WOODBIDGE AMOCO WOODBRIDGE VA  
PAY AT THE PUMP  
Reference: 320010970212787770

<b>N w A tivity</b>	<b>ntinu d</b>	<b>Amount \$</b>
<b>April 12, 2001</b> US PATENT & TRADEMAR WASHINGTON, DC DC GOVT. SVCS. NOT ELSEWHE ROC No. 0000000000 Reference: 320011080230955811		65.00
<b>April 13, 2001</b> USPS 5165430220 SPRINGFIELD VA POSTAL SERVICES Reference: 320011040224482284		2.65
<b>April 13, 2001</b> SPRINGFIELD AMOCO SPRINGFIELD VA PAY AT THE PUMP Reference: 320011040224482295		18.98
<b>April 13, 2001</b> SPRINGFIELD AMOCO SPRINGFIELD VA PAY AT THE PUMP Reference: 320011040224482296		20.16
<b>April 13, 2001</b> GIANT FOODS #59 SPRINGFIELD VA GAS/GROCERIES/MISC Reference: 320011050225852056		13.60
<b>April 13, 2001</b> COSTCO WHOLESAL 0992 SPRINGFIELD VA GENERAL MERCHANDISE ROC No. 0010545690 Reference: 320011060227435209		2.49
<b>April 14, 2001</b> NUMBER ONE SPECIAL SPRINGFIELD VA FOOD/BEVERAGE FOOD/BEV 21.40 WAITER 3.50 Reference: 320011060227435210		24.90
<b>April 16, 2001</b> CVS STORE - 1398 SPRINGFIELD VA HEALTH/BEAUTY-AIDS ROC No. 00093481 Reference: 320011070229058755		11.76
<b>April 16, 2001</b> WAL-MART 2194 ALEXANDRIA VA GENERAL MERCHANDISE Reference: 320011070229058756		4.13
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<b>April 18, 2001</b> WOODBIDGE AMOCO WOODBRIDGE VA PAY AT THE PUMP Reference: 320011090232550807		21.07
<b>April 18, 2001</b> THE HOME DEPOT 4604 WOODBRIDGE VA BUILDING SUPPLIES Reference: 320011090233585142		53.07
<b>April 18, 2001</b> THE HOME DEPOT 4604 WOODBRIDGE VA BUILDING SUPPLIES Reference: 320011090233585143		52.77
<b>April 19, 2001</b> THE HOME DEPOT #4603 ALEXANDRIA VA BUILDING SUPPLIES Reference: 320011100235413695		47.67
<b>April 19, 2001</b> THE HOME DEPOT #4603 ALEXANDRIA VA BUILDING SUPPLIES Reference: 320011100235413696		16.97



Cards

Prepared For  
AUDREY P. LAUAccount Number  
3715-055941-41003

Page 7 of 12

Closing Date  
April 24, 2001**New Activity continued**

Amount \$

<b>April 19, 2001</b>	3.54
K MART #07415    SPRINGFIELD    VA	
GENERAL MERCHANDISE	
ROC No. 0741510904	
Reference: 320011110236167072	
<b>April 20, 2001</b>	12.29
COSTCO GAS #933 0933 LANCASTER    PA	
UNATTENDED GASOLINE	
1875 HEMPSTEAD ROAD	
ROC No. 0010011240	
Reference: 320011110236167071	
<b>April 22, 2001</b>	15.75
SUNOCO 0434701901 CRANBURY    NJ	
SUNOCO	
0060123 011301838	
Reference: 320011140241002490	
<b>April 23, 2001</b>	76.17
THE HOME DEPOT #4617 SPRINGFIELD    VA	
HARDWARE/HOME IMPROV	
Reference: 320011140242164886	
<b>April 23, 2001</b>	2.49
COSTCO WHOLESALE 0992 ARLINGTON    VA	
GENERAL MERCHANDISE	
ROC No. 0010619470	
Reference: 320011140242164887	
<b>Activity for MICHAEL LAU</b>	<b>1,894.73</b>

**Total of New Activity****2,478.52****Finance Charges**

Billing days this period: 30

	Average Daily Balance \$	Daily Periodic Rate	Actual Annual Percentage Rate	Corresponding Annual Percentage Rate	FINANCE CHARGE \$
Purchases	0.00	0.0466%	0.00%	16.99%	0.00
Cash Advances	0.00	0.0589%	0.00%	21.49%	0.00
					<b>0.00</b>

**Cash Rebate Earnings Summary**AUDREY P. LAU  
3715-055941-41003Eligible Spending Toward  
Rebate

Your qualified spending for the cash rebate is \$5,055.54.

Bonus .5% Rebate on Spend  
when Carrying a Balance

In addition to the cash rebate reward you have earned for your qualified spending on the account, you have earned an additional \$12.88 for revolving your balance during this rebate period.

Remember to pay at least the minimum due, by the payment due date, to avoid Cash Rebate forfeiture and additional finance charges.

You will receive your next cash rebate reward in January 2002 as long as your account is in good standing. Remember, the more you use your American Express Platinum Cash Rebate Card for purchases, the greater your reward will be.

**Important Electronic Transfer Information**

During your January, February and March billing cycles you had no Express Cash, Pay-by-Phone, and/or automatic payment transactions and printed below is the banking information that we have on file for such transactions: If your banking information differs from that shown below, please call 1-800-CASH-NOW to change it.

Please refer to page 9  
for further important  
information regarding  
your account

Continued on reverse



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